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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/14/2009

Gerlinde M. Nattler Continental Teves Inc One Continental Drive Auburn Hills, MI 48326

**EXAMINER** BEAULIEU, YONEL ART UNIT PAPER NUMBER

3661

DATE MAILED: 09/14/2009

| APPLICA | TION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------|----------|-------------|----------------------|---------------------|------------------|
| 10/5    | 17,949   | 08/23/2005  | Georg Fachinger      | AP 10461            | 3089             |

TITLE OF INVENTION: METHOD FOR MONITORING THE FUNCTIONS AND INCREASING THE OPERATIONAL RELIABILITY OF A SAFETY-RELEVANT CONTROL SYSTEM

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 12/14/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

| appropriate. All further<br>indicated unless correcte<br>maintenance fee notificat<br>CURRENT CORRESPONDI  | a) specifying a new con  N F   | v correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. |  |                    |                          |  |  |
|--|--|--|--|--------------------|--------------------------|--|--|
| Gerlinde M. Na<br>Continental Tevo<br>One Continental  | es Inc<br>Drive  | /2009  | I  | hereby certify tha | Certificat<br>t this Fee | e of Mailing or Transn<br>(s) Transmittal is being | nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.                            |
| Auburn Hills, M  | 1 48326  |  |  |                    |                          |  | (Depositor's name)   |
|  |  |  | -  |                    |                          |  | (Signature)  |
|  |  |  | L  |                    |                          |  | (Date)   |
| APPLICATION NO.  | FILING DATE  |  | FIRST NAMED INVENT   | OR                 | ATTO                     | DRNEY DOCKET NO.                                   | CONFIRMATION NO.   |
| 10/517,949<br>TITLE OF INVENTIO<br>SAFETY-RELEVANT (   |  | ONITORING THE FU   | Georg Fachinger<br>NCTIONS AND INC   | REASING THE        | OPERAT                   | AP 10461<br>IONAL RELIABILITY                      | 3089<br>7 OF A   |
| APPLN. TYPE  | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DU   | FREV. PAID IS      | SUE FEE                  | TOTAL FEE(S) DUE                                   | DATE DUE   |
| nonprovisional   | NO   | \$1510   | \$300  | \$0                |                          | \$1810   | 12/14/2009   |
| EXAM   | INER   | ART UNIT   | CLASS-SUBCLASS   |                    |                          |  |  |
| BEAULIEU   | U, YONEL   | 3661   | 701-029000   |                    |                          |  |  |
| <ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> <li>ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON PLEASE NOTE: Unless an assignee is identified below no assignee.</li> </ol> |  |  | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  |                    |                          |  |  |
| recordation as set fort  (A) NAME OF ASSIC  Please check the appropri  | GNEE   | oletion of this form is NO   | (B) RESIDENCE: (CI   | TY and STATE O     |                          | ,  | up entity 🗖 Government   |
| 4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies  |  |  | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |                    |                          |  |  |
|  | s SMALL ENTITY statu   | is. See 37 CFR 1.27.   |  |                    |                          | TITY status. See 37 CF attorney or agent; or the   | R 1.27(g)(2).  |
| interest as shown by the i   | records of the United Sta  | tes Patent and Trademark   | Office.  | 11, **-            | J                        |  |  |
| Authorized Signature   |  |  | Date   |                    |                          |  |  |
| Typed or printed name  |  |  | Registration No  |                    |                          |  |  |
| an application Confident   | tiality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DC | U.S.C. 122 and 37 CFR  | 1.14 This collection is  | estimated to take  | 12. minute               | s to complete, including                           | by the USPTO to process) g gathering, preparing, and the you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450, |

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| 10/517,949                               | 08/23/2005    | Georg Fachinger      | AP 10461                | 3089             |  |
| 75                                       | 90 09/14/2009 |                      | EXAM                    | IINER            |  |
| Gerlinde M. Nattler                      |               |                      | BEAULIEU, YONEL         |                  |  |
| Continental Teves Inc                    |               |                      | ART UNIT                | PAPER NUMBER     |  |
| One Continental Da<br>Auburn Hills, MI 4 |               |                      | 3661                    |                  |  |
| Aubum IIIIs, WH 4                        | 0320          |                      | DATE MAILED: 09/14/2009 |                  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 786 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 786 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|   | Application No.   | Applicant(s)  |    |
|---|---|---|----|
|   | 10/517,949  | FACHINGER ET AL.  |    |
| Notice of Allowability  | Examiner  | Art Unit  |    |
|   | /Yonel Beaulieu/  | 3661  |    |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 1.   This communication is responsive to amendment filed 8/20 | (OR REMAINS) CLOSED<br>or other appropriate com<br>IGHTS. This application is<br>and MPEP 1308. | in this application. If not included munication will be mailed in due course. <b>THIS</b> | ve |
| 2. ☑ The allowed claim(s) is/are <u>2</u> .   |   |   |    |
| 3. ☑ Acknowledgment is made of a claim for foreign priority under a) ☑ All b) ☐ Some* c) ☐ None of the:  1. ☑ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).                            | been received. been received in Applica   | tion No   |    |
| * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |   | ile a reply complying with the requirements   |    |
| <ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm<br/>INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>  |   |   |    |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus  | st be submitted.  |   |    |
| (a) ☐ including changes required by the Notice of Draftspers  |   | ew ( PTO-948) attached  |    |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date  | ,   |   |    |
| (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1   |   |   |    |
| each sheet. Replacement sheet(s) should be labeled as such in t   |   |   |    |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depo<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>  |   |   |    |
| Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 6. ☐ Interview Paper N 7. ☐ Examiner 8. ☑ Examiner 9. ☐ Other                                   |   |    |
|   | /Yonel Beauli   |   |    |
|   | Yonel Beaulie   | u   |    |
|   |   |   |    |

# Allowable Subject Matter

Claim 2 is allowed. The following is a statement of reasons for the indication of allowable subject matter.

The prior art of record fail to teach a method for monitoring the functions and increasing the operational reliability of a complex safety-relevant vehicle control system and for detecting and evaluating system errors comprising, among other limitations, detecting a system error and evaluation as a group error, bringing about a system degradation corresponding to the group error by restricting system functions, localizing the system error and an error source by at least one of the functions out of the group consisting of tests, logical combining of the test results and plausibility considerations, and gradually canceling the restrictions of the system functions and enhancing the system availability in dependence on the result of the step for localizing the system error and the error source.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to /Yonel Beaulieu/ whose telephone number is (571) 272-6955. The examiner can normally be reached on Mon., Wed. & Thur. between 0900 and 1600.

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Art Unit: 3661

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas BLACK can be reached on (571) 272-6956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Yonel Beaulieu/ Primary Examiner Art Unit 3661